FORM PTO-1390 (Modified)
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE
(REV 12-2004)

	TRA	NSMITTAL LETTER TO THE UNITED STATES	ATTORNEY'S DOCKET NUMBER						
	D	ESIGNATED/ELECTED OFFICE (DO/EO/US)	065691-0408						
	CC	ONCERNING A FILING UNDER 35 U.S.C. 371	U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 10/551,819						
- 1	PCT/IB20	04/001390 4/5/2004	PRIORITY DATE CLAIMED 4/3/2003						
TITL	E OF IN	/ENTION ELTIC PRODUCTS WITH ENHANCED ARRITY TO IMMINOMODUL ATE	CELL FUNCTIONS						
	THERAPEUTIC PRODUCTS WITH ENHANCED ABILITY TO IMMUNOMODULATE CELL FUNCTIONS APPLICANT(S) FOR DO/EO/US								
1	Dominique BOUREL et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:								
' '									
1.		This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.							
2.	\boxtimes	This is a SECOND or SUBSEQUENT submission of items concerning a fi							
3.	\boxtimes	This is an express request to begin national examination procedures (35 titems (5), (6), (9) and (21) indicated below.	J.S.C. 371(f)). The submission must include						
4.	\boxtimes	The US has been elected (Article 31).							
5.	\boxtimes	A copy of the International Application as filed (35 U.S.C. 371(c)(2))							
		is attached hereto (required only if not communicated by the International	ational Bureau).						
		is not required, as the application was filed in the United States Red	ceiving Office (RO/US)						
6.	\boxtimes	An English language translation of the International Application as filed (35	5 U.S.C. 371(c)(2)).						
		is not required, the International Application was filed in English. has been previously submitted under 35 U.S.C. 154(d)(4).							
7.	\boxtimes	Amendments to the claims of the International Application under PCT Artic							
		are attached hereto (required only if not transmitted by the International Bureau.	ional Bureau).						
		have not been made; however, the time limit for making such amer	ndments has NOT expired.						
		★ have not been made and will not be made.	·						
8.		An English language translation of the amendments to the claims under P	CT Article 19 (35 U.S.C. 371(c)(3)).						
9.	\boxtimes	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).							
10.		An English language translation of the annexes to the International Prelim 36 (35 U.S.C. 371(c)(5)).	inary Examination Report under PCT Article						
Iten	ns 11 to 2	0 below concern other document(s) or information included:							
11.		An Information Disclosure Statement under 37 CFR 1.97 and 1.98.							
12.		An assignment document for recording. A separate cover sheet in compli	ance with 37 CFR 3.28 and 3.31 is included.						
13.		A preliminary amendment.							
14.		An Application Data Sheet under 37 CFR 1.76.							
15.		A substitute specification.							
16.		A power of attorney and/or change of address letter.							
17.	\boxtimes	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825							
18.		A second copy of the published international application under 35 U.S.C. 154(d)(4).							
19.		A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).							
20.		Other items or information: Statement To Support Filing and Submissio and paper copy of sequence listing							

	U.S. APPLICATION 10/551,819		wn, see 37 CF		INTERNATIONAL APPLICATION PCT/IB2004/001390	NO.		91-040	KET NUMBER 18	
	The	followin	g fees have	been su	bmitted:	***	<u> </u>	1		
		sic natio	-			\$	300	\$	300.00	
	22. 🛛 Ex	aminatio	on fee							*
	If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) \$100					\$	200.00			
	All other situat					\$	200	<u> </u>		
		earch fe	-			!!4!	4 41			
	Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority \$100									
	International Search Report prepared and provided to the Office \$400					100				
	All other situations \$500			500	\$	400.00				
	TOTAL OF ABOVE 21, 22 and 23 =				\$	900.00				
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	is \$ for e	ach addi	itional 50 sh	eets of pa	aper or fraction thereof.]		
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								<u> </u>		
	Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.					+	\$	0.00		
	SUBTOTAL :				JBTOTAL =	\$	1030.00			
·	Processing fee of 130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).						nths	\$		
06/15/2006	KAYPAGH 00000			51819		AL NATIO	NAL FEE =	\$	1030.00	
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June 12, 2006



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandra, Virginia 22313-1450 www.unpto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/551,819

Dominique Bourel

065691-0408

INTERNATIONAL APPLICATION NO.

PCT/IB04/01390

I.A. FILING DATE

PRIORITY DATE

04/05/2004

04/03/2003

CONFIRMATION NO. 3972 371 FORMALITIES LETTER

OC000000019109728

22428 FOLEY AND LARDNER LLP SUITE 500 3000 K STREET NW WASHINGTON, DC 20007

Date Mailed: 06/05/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 09/30/2005
- Copy of the International Search Report filed on 09/30/2005
- Preliminary Amendments filed on 09/30/2005
- Information Disclosure Statements filed on 09/30/2005
- U.S. Basic National Fees filed on 09/30/2005
- Priority Documents filed on 09/30/2005
- Specification filed on 09/30/2005
- Claims filed on 09/30/2005
- Abstracts filed on 09/30/2005
- Drawings filed on 09/30/2005
- Paper nucleotide sequence listings filed on 09/30/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment specifically directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825 (d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821 (e) may be submitted in lieu of a new CRF.
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

WINSTON M ALVARADO

Telephone: (703) 308-9140 EXT 206

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.		
10/551,819	PCT/IB04/01390	065691-0408		

FORM PCT/DO/EO/905 (371 Formalities Notice)